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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/523,573	03/10/2000	Rex A. Naden	73169	5871

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PHILLSBURY WINTHROP LLP  
2550 HANOVER STREET  
PALO ALTO, CA 94304

EXAMINER
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BAROT, BHARAT

ART UNIT	PAPER NUMBER
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2154

DATE MAILED: 10/07/2003

9

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.  
09/523,573

Applicant(s)  
Rex A. Naden et al.

Examiner  
Bharat Barot

Art Unit  
2154

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on Jul 16, 2003.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-34 is/are pending in the application.
- 4a) Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-34 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claims \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some\* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\*See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).  
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s). \_\_\_\_\_ 6) ☐ Other:

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**RESPONSE TO AMENDMENT**

1. Claims 1-34 remain for further examination.

**The new grounds of rejection**

2. Applicants' arguments with respect to claims 1-34 filed on July 16, 2003 (Paper Number 08) have been fully considered but they are deemed to be moot in view of the new grounds of rejection.

**Claim Rejections - 35 USC § 102**

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-34 are rejected under 35 U.S.C. 102(b) as being anticipated by Logan et al (U.S. Patent No. 5,732,216).

Logan's patent meets all the limitations for claims 1-34 recited in the claimed invention.

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5. As to claim 1, Logan et al disclose a communications system (*see abstract; and figure 1*), comprising:

a first unit (player) including a first transceiver, a first memory and a first CPU, the first CPU operating to access data at the first transceiver and at the first memory (*figure 1; and column 3 line 22 to column 4 line 37*);

a second unit (host server) including a second transceiver, a second memory and a second CPU, the second CPU operating to access data at the second transceiver and at the second memory (*figure 1; and column 4 line 39 to column 5 line 45*),

wherein the first CPU operates to transmit a request signal from the first transceiver to the second transceiver, the second CPU responds to receiving the request signal at the second transceiver by accessing a data file at the second memory and transmitting the data file from the second transceiver to the first transceiver, and the first CPU responds to receiving the data file at the first transceiver by storing the data file at the first memory (*figure 1; and column 5 lines 46 to column 6 line 26*).

6. As to claims 2, 4, 6, and 9, Logan et al disclose that at least-one of the first unit and the second unit is included in a vehicle, and the request list is generated by a voice-activated system (*figure 1; and column 3 lines 32-37; and column 6 line 36 to column 7 line 2*).

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7. As to claims 3, 7, and 10, Logan et al disclose that the data file includes compressed audio and music (MP3-formatted music), and the first unit includes a music player (*figure 1; column 3 lines 24-40; column 3 line 55 to column 4 line 16; column 4 lines 40-58; and column 5 lines 16-32*).

8. As to claim 5, Logan et al disclose that the request signal includes a request list, the request list comprising an identifier for a program, and the data file accessed by the second CPU includes data for the program identified by the identifier (*figures 4-5; column 5 lines 6-15; column 7 line 51 to column 8 line 7; column 13 lines 56-63 17 line 62 to column 18 line 40; and column 21 line 64 to column 22 line 9*).

9. As to claim 8, Logan et al disclose that the request signal is sent in a continuous mode, and the second transceiver responds to receiving the request signal when the request signal is received at a sufficient strength (*figures 1-2; and column 7 line 5 to column 8 line 60*).

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10. As to claim 11, Logan et al disclose a communications system (*see abstract; and figure 1*), comprising:

a first unit (player) including a receiver, a first memory and a first CPU, the first CPU operating to access data at the first receiver and at the first memory (*figure 1; and column 3 line 22 to column 4 line 37*);

a second unit (host server) including a transmitter, a second memory and a second CPU, the second CPU operating to access data at the transmitter and at the second memory (*figure 1; and column 4 line 39 to column 5 line 45*),

wherein the second CPU includes an agent (HTML/FTP server) program that generates a request signal, the second CPU responds to the request signal by accessing a data file at the second memory and transmitting the data file from the transmitter to the receiver, and the first CPU responds to receiving the data file at the receiver by storing the data file at the first memory (*figure 1; and column 4 line 40 to column 6 line 26*).

11. As to claims 12-20, they are also rejected for the same reasons set forth to rejecting claims 2-10 above.

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12. As to claims 21-30, they are also rejected for the same reasons set forth to rejecting claims 1-10 above, since claims 21-30 are merely a method of operation for the apparatus defined in the apparatus claims 1-10.

13. As to claim 31, Logan et al disclose that the first storage unit is included in a vehicle; and the second storage unit is included in a fixed unit (*figure 1; and column 3 lines 32-37; and column 6 line 36 to column 7 line 2*).

14. As to claim 32, Logan et al disclose that the data file includes compressed audio and music (MP3-formattted music), and the first unit includes a music player (*figure 1; column 3 lines 24-40; column 3 line 55 to column 4 line 16; column 4 lines 40-58; and column 5 lines 16-32*).

15. As to claims 33-34, Logan et al disclose that the fixed unit is a dwelling unit or a commercial unit (*column 6 line 36 to column 7 line 2*).

#### **Additional Reference**

16. The following reference is cited by the examiner as of general interest.

- a. Gottlieb, U.S. Patent No. 6,446,118.

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**Contact Information**

17. Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Bharat Barot** whose telephone number is **(703) 305-4092**. The examiner can normally be reached on Monday-Friday from 9:30 AM to 6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, **An, Meng-Ai**, can be reached at **(703) 305-9678**. The fax phone numbers for examiner's Art Unit OR Group are **After final response (703) 746-7238, Official response (703) 746-7239, and Unofficial/Draft response (703) 746-7240**.

Any inquiry of general nature or relating to the status of this application should be directed to the group receptionist whose telephone number is **(703) 305-3900**.

Patent Examiner Bharat Barot

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September 24, 2003

*Bharat Barot*

**BHARAT BAROT  
PRIMARY EXAMINER**